

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nabil A. Abu El Ata

Application No.: 09/942,096

Group: 2128

Filed: August 28, 2001

Examiner: Akash Saxena

Confirmation No.: 6177

For: AUTOMATED SYSTEM AND METHOD FOR DESIGNING MODEL  
BASED ARCHITECTURES OF INFORMATION SYSTEMS

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
<u>FEB. 23, 2007</u> Date	<u><i>Christine A. Budd</i></u> Signature
<u>CHRISTINE A. BUDD</u> Typed or printed name of person signing certificate	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

02/28/2007 SDENBDB1 00000013 09942096

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180.00 OP

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☐ under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☒ under 37 CFR 1.97(c) together with either:  
☐ a Statement under 37 CFR 1.97(e), as checked below, or  
☒ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:  
☐ a Statement under 37 CFR 1.97(e), as checked below, and  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application file.  
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

*Applies to original applications (other than design) filed on or after May 29, 2000*

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
  - ☒ A copy of the cited reference AW8 is enclosed.
  - ☒ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
- ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [OPTIONAL [The earlier application contains copies of the cited references.]]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
  - ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
  - ☐ submission of the enclosed International Search Report.
  - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
  - ☐ the enclosed English language abstract.

- ☐ Applicant requests that the following non-published pending applications be considered:  
(Affix a label or apply the stamp "Non-Published IDS Reference - Do Not Scan" to the front of each unpublished pending appl'n.)

Examiner's  
Initials

\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]  
\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]  
\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

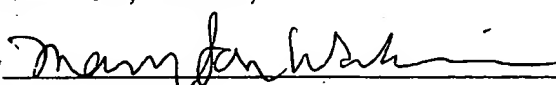
Method of payment:

- ☒ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By

  
Mary Lou Wakimura

Registration No. 31,804

Telephone: (978) 341-0036

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Concord, MA 01742-9133

Dated: 2/23/07

PTO-1449 REPRODUCED

ATTORNEY DOCKET NO.  
3023.1002-001APPLICATION NO.  
09/942,096INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATIONFIRST NAMED INVENTOR  
Nabil A. Abu El AtaFILING DATE  
August 28, 2001

February 23, 2007

EXAMINER  
Akash SaxenaCONFIRMATION NO.  
6177GROUP  
2128

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAM- -NER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
/AS/	AC5	7,031,901 B2	04-18-2006	Abu El Ata
/AS/	AD5	5,701,400	12-23-1997	Amado
/AS/	AE5	US 2003/0120361 A1	06-26-2003	Anderson, <i>et al.</i>
	AF5			
	AG5			
	AH5			
	AI5			
	AJ5			
	AK5			
	AA6			
	AB61			
	AC6			
	AD6			
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	AH6			
	AI6			
	AJ6			
	AK6			

EXAMINER /Akash Saxena/ (07/14/2007)

DATE CONSIDERED

PTO-1449 REPRODUCED  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  <b>February 23, 2007</b>  (Use several sheets if necessary)	ATTORNEY DOCKET NO. 3023.1002-001	APPLICATION NO. 09/942,096	
	FIRST NAMED INVENTOR Nabil A. Abu El Ata	FILING DATE August 28, 2001	
	EXAMINER Akash Saxena	CONFIRMATION NO. 6177	GROUP 2128

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
/AS/	AW8	Bhattacharjee, S. and Ramesh R., "Enterprise Computing Environments and Cost Assessment," <i>Communications of the ACM</i> , 43(10):75-82, (2000).
	AX8	
	AY8	
	AZ8	
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	AR10	
	AS10	
	AT10	
	AU10	

EXAMINER /Akash Saxena/ (07/14/2007)	DATE CONSIDERED
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